# Self-questionnaire

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| **First name:** | |
| **Last name:** | |
| **Maiden name:** | |
| **Contact details (mobile number/email):** | |
| **PESEL number lub date of birth:** | |
| **Former first name and/or last name (if this is the case):** | |

| No. | Competence | Rating* | Justification | Has your level of competence changed since the date of appointment/last assessment? (dotyczy oceny wtórnej) | Justification for changing the level of competence |
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| | **Competences in managing ML/FT and/or ESG risks** | | | | |
| 1 | **Knowledge of legal requirements and/or regulatory frameworks (supervisory guidelines) for ML/FT risk management**<br>In general, I am familiar with the relevant legal provisions, at the level of EU and/or national law, in the field of ML/FT risk in the banking sector, as well as recommendations of supervisory authorities and codes of good practice regulating the issue of risk management in this respect. | | | | |
| 2 | **Knowledge of legal requirements and/or regulatory frameworks (supervisory guidelines) for ESG risk management**<br>In general, I am familiar with the relevant provisions, at the level of EU and/or national law, in the field of ESG risk as well as recommendations of supervisory authorities and codes of good practice regulating the issue of risk management in this area. | | | | |
| 3 | **Knowledge of the business model of financial institutions in the banking sector and the extent to which this business model exposes the institution to ML/TF risk**<br>I have a good understanding of the business model of financial institutions in the banking sector and the extent to which this business model exposes the institution to ML/TF risk. | | | | |
| 4 | **Knowledge of the risk management in terms of ML/FT risk management**<br>I understand the methodology of ML/FT risk management in the banking sector - identifying, assessing, monitoring, controlling and minimizing risk related to a financial institution (bank), customers or contractors. | | | | |
| 5 | **Knowledge of the risk management in terms of ESG risk management**<br>I understand the methodology of ESG risk management - identifying, assessing, monitoring, controlling and minimizing risks related to a financial institution, customers or contractors. | | | | |
| 6 | **Knowledge of market practices in relation to the measurement of ESG risk in the banking sector**<br>I have general knowledge of the ESG risk measurement methods used on the market (e.g. portfolio alignment method, risk framework method, exposure method). | | | | |
| 7 | **Knowledge of the standards of functioning of the internal control system and audit in the field of ML / FT risk and ESG risk**<br>I have general knowledge of standard audit and internal control systems in the field of ML/FT risk and ESG risk. | | | | |
| 8 | **Experience in conducting supervisory activities in the management of ML/FT risk and/or ESG risk**<br>I have experience in conducting supervisory activities in the field of ML/FT and/or ESG risk management. | | | | |
| 9 | **Experience in overseeing and monitoring within the oversight function the degree of adequacy and effectiveness of internal policies and procedures in the field of ML/FT risk and/or ESG risk**<br>I have experience in overseeing and monitoring, as part of the oversight function, the degree of adequacy and effectiveness of internal policies and procedures in the field of ML/FT risk and/or ESG risk. | | | | |
| 10 | **Experience in ensuring regulatory compliance and/or compliance of the institution's processes and solutions for estimating, measuring or managing ML/FT risk**<br>I have experience in ensuring regulatory compliance and/or compliance of the institution's processes and solutions for estimating, measuring or managing ML/FT risk. | | | | |
| 11 | **Knowledge of ensuring regulatory compliance and/or compliance of the institution's processes and solutions for estimating, measuring or managing ML/FT risk**<br>I have a good understanding of the business model of financial institutions in the banking sector and the extent to which this business model exposes the institution to ML/TF risk. | | | | |
| 12 | **Experience in conducting supervisory activities aimed at preventing or limiting the negative effects of events or changes in the situation in the natural or social environment as part of ESG risk factors**<br>I have experience in condacting supervisory activities aimed at preventing future events or limiting the negative effects of events or changes in the situation in the natural or social environment in the event of the materialization of the ESG risk. | | | | |
| 13 | **The person's reputation with regard to ML/FT and/or ESG risk management**<br>I have an unblemished reputation with regard to AML/CFT and/or ESG risk. | | | | |

| Requirements for the Nominations Committee | | | | | |
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| Item | Requirements | Scoring | Justification | Has your level of competence changed since your appointment/last evaluation date?<br><br>(concerns a secondary assessment) | Justification for changing the level of competence |
| 1 | Knowledge of and experience in the Bank's operations | | | | |
| 2 | Knowledge and skills in relation to the selection process and qualification requirements | | | | |

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| **Requirements for the Compensations Committee** | | | | | |
| Item | Requirements | Scoring | Justification | Has your level of competence changed since your appointment/last evaluation date?<br><br>(concerns a secondary assessment) | Justification for changing the level of competence |
| 1 | Knowledge and experience in the field of remuneration policy and practice | | | | |
| 2 | Knowledge and experience related to remuneration with respect to risk management and risk control (i.e., with respect to the use of the mechanism to adjust the remuneration structure to the Bank's risk profile and capital) | | | | |

| | | | | Has your level of competence changed since your appointment/last evaluation date? (concerns a secondary assessment) | |
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| **Item** | **Requirements** | **Scoring** | **Justification** | | **Justification for changing the level of competence** |
| 1 | Accounting knowledge: | | | | |
| 2 | Accounting skills: | | | | |
| 3 | Knowledge of financial statements auditing: | | | | |
| 4 | Skills in financial statements auditing: | | | | |
| 5 | Knowledge of banking: | | | | |
| 6 | Banking skills: | | | | |

**Requirements for the Audit Committee**

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| **Requirements for the Risk Committee** | | | | | |
| Item | Requirements | Scoring | Justification | Has your level of competence changed since your appointment/last evaluation date?<br><br>(concerns a secondary assessment) | Justification for changing the level of competence |
| 1 | Knowledge and skills in relation to the risk management practices and control mechanisms | | | | |